

Introduction to L^2 -invariants

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Basic motivation

- Given an invariant for finite CW -complexes, one can get much more sophisticated versions by passing to the universal covering and defining an analogue taking the action of the fundamental group into account.
- Examples:

Classical notion	generalized version
Homology with coefficients in \mathbb{Z}	Homology with coefficients in representations
Euler characteristic $\in \mathbb{Z}$	Walls finiteness obstruction in $K_0(\mathbb{Z}\pi)$
Lefschetz numbers $\in \mathbb{Z}$	Generalized Lefschetz invariants in $\mathbb{Z}\pi_\phi$
Signature $\in \mathbb{Z}$	Surgery invariants in $L_*(\mathbb{Z}G)$
—	torsion invariants

- We want to apply this principle to (classical) **Betti numbers**

$$b_n(X) := \dim_{\mathbb{C}}(H_n(X; \mathbb{C})).$$

- Here are two naive attempts which fail:
 - $\dim_{\mathbb{C}}(H_n(\tilde{X}; \mathbb{C}))$
 - $\dim_{\mathbb{C}\pi}(H_n(\tilde{X}; \mathbb{C}))$,
where $\dim_{\mathbb{C}\pi}(M)$ for a $\mathbb{C}[\pi]$ -module could be chosen for instance as $\dim_{\mathbb{C}}(\mathbb{C} \otimes_{\mathbb{C}G} M)$.
- The problem is that $\mathbb{C}\pi$ is in general not Noetherian and $\dim_{\mathbb{C}\pi}(M)$ is in general not additive under exact sequences.
- We will use the following successful approach which is essentially due to **Atiyah**.

- Throughout these lectures let G be a discrete group.
- Given a ring R and a group G , denote by RG or $R[G]$ the **group ring**.
- Elements are formal sums $\sum_{g \in G} r_g \cdot g$, where $r_g \in R$ and only finitely many of the coefficients r_g are non-zero.
- Addition is given by adding the coefficients.
- Multiplication is given by the expression $g \cdot h := g \cdot h$ for $g, h \in G$ (with two different meanings of \cdot).
- In general RG is a very complicated ring.

- Denote by $L^2(G)$ the Hilbert space of (formal) sums $\sum_{g \in G} \lambda_g \cdot g$ such that $\lambda_g \in \mathbb{C}$ and $\sum_{g \in G} |\lambda_g|^2 < \infty$.

Definition

Define the **group von Neumann algebra**

$$\mathcal{N}(G) := \mathcal{B}(L^2(G), L^2(G))^G = \overline{\mathbb{C}G}^{\text{weak}}$$

to be the algebra of bounded G -equivariant operators $L^2(G) \rightarrow L^2(G)$. The **von Neumann trace** is defined by

$$\text{tr}_{\mathcal{N}(G)} : \mathcal{N}(G) \rightarrow \mathbb{C}, \quad f \mapsto \langle f(\mathbf{e}), \mathbf{e} \rangle_{L^2(G)}.$$

Example (Finite G)

If G is finite, then $\mathbb{C}G = L^2(G) = \mathcal{N}(G)$. The trace $\text{tr}_{\mathcal{N}(G)}$ assigns to $\sum_{g \in G} \lambda_g \cdot g$ the coefficient λ_e .

Example ($G = \mathbb{Z}^n$)

Let G be \mathbb{Z}^n . Let $L^2(T^n)$ be the Hilbert space of L^2 -integrable functions $T^n \rightarrow \mathbb{C}$. Fourier transform yields an isometric \mathbb{Z}^n -equivariant isomorphism

$$L^2(\mathbb{Z}^n) \xrightarrow{\cong} L^2(T^n).$$

Let $L^\infty(T^n)$ be the Banach space of essentially bounded measurable functions $f: T^n \rightarrow \mathbb{C}$. We obtain an isomorphism

$$L^\infty(T^n) \xrightarrow{\cong} \mathcal{N}(\mathbb{Z}^n), \quad f \mapsto M_f$$

where $M_f: L^2(T^n) \rightarrow L^2(T^n)$ is the bounded \mathbb{Z}^n -operator $g \mapsto g \cdot f$.

Under this identification the trace becomes

$$\mathrm{tr}_{\mathcal{N}(\mathbb{Z}^n)}: L^\infty(T^n) \rightarrow \mathbb{C}, \quad f \mapsto \int_{T^n} f d\mu.$$

Definition (Finitely generated Hilbert module)

A **finitely generated Hilbert $\mathcal{N}(G)$ -module** V is a Hilbert space V together with a linear isometric G -action such that there exists an isometric linear G -embedding of V into $L^2(G)^n$ for some $n \geq 0$.

A **map of finitely generated Hilbert $\mathcal{N}(G)$ -modules** $f: V \rightarrow W$ is a bounded G -equivariant operator.

Definition (von Neumann dimension)

Let V be a finitely generated Hilbert $\mathcal{N}(G)$ -module. Choose a G -equivariant projection $p: L^2(G)^n \rightarrow L^2(G)^n$ with $\text{im}(p) \cong_{\mathcal{N}(G)} V$. Define the **von Neumann dimension** of V by

$$\dim_{\mathcal{N}(G)}(V) := \text{tr}_{\mathcal{N}(G)}(p) := \sum_{i=1}^n \text{tr}_{\mathcal{N}(G)}(p_{i,i}) \in [0, \infty).$$

Example (Finite G)

For finite G a finitely generated Hilbert $\mathcal{N}(G)$ -module V is the same as a unitary finite dimensional G -representation and

$$\dim_{\mathcal{N}(G)}(V) = \frac{1}{|G|} \cdot \dim_{\mathbb{C}}(V).$$

Example ($G = \mathbb{Z}^n$)

Let G be \mathbb{Z}^n . Let $X \subset T^n$ be any measurable set with characteristic function $\chi_X \in L^\infty(T^n)$. Let $M_{\chi_X}: L^2(T^n) \rightarrow L^2(T^n)$ be the \mathbb{Z}^n -equivariant unitary projection given by multiplication with χ_X . Its image V is a Hilbert $\mathcal{N}(\mathbb{Z}^n)$ -module with

$$\dim_{\mathcal{N}(\mathbb{Z}^n)}(V) = \text{vol}(X).$$

In particular each $r \in [0, \infty)$ occurs as $r = \dim_{\mathcal{N}(\mathbb{Z}^n)}(V)$.

Definition (Weakly exact)

A sequence of Hilbert $\mathcal{N}(G)$ -modules $U \xrightarrow{i} V \xrightarrow{p} W$ is **weakly exact** at V if the kernel $\ker(p)$ of p and the closure $\overline{\text{im}(i)}$ of the image $\text{im}(i)$ of i agree.

A map of Hilbert $\mathcal{N}(G)$ -modules $f: V \rightarrow W$ is a **weak isomorphism** if it is injective and has dense image.

Example

The morphism of $\mathcal{N}(\mathbb{Z})$ -Hilbert modules

$$M_{z-1}: L^2(\mathbb{Z}) = L^2(\mathcal{S}^1) \rightarrow L^2(\mathbb{Z}) = L^2(\mathcal{S}^1), \quad u(z) \mapsto (z-1) \cdot u$$

is a weak isomorphism, but not an isomorphism.

Theorem (Main properties of the von Neumann dimension)

1 Faithfulness

We have for a finitely generated Hilbert $\mathcal{N}(G)$ -module V

$$V = 0 \iff \dim_{\mathcal{N}(G)}(V) = 0;$$

2 Additivity

If $0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0$ is a weakly exact sequence of finitely generated Hilbert $\mathcal{N}(G)$ -modules, then

$$\dim_{\mathcal{N}(G)}(U) + \dim_{\mathcal{N}(G)}(W) = \dim_{\mathcal{N}(G)}(V);$$

3 Cofinality

Let $\{V_i \mid i \in I\}$ be a directed system of Hilbert $\mathcal{N}(G)$ -submodules of V , directed by inclusion. Then

$$\dim_{\mathcal{N}(G)} \left(\overline{\bigcup_{i \in I} V_i} \right) = \sup \{ \dim_{\mathcal{N}(G)}(V_i) \mid i \in I \}.$$

L^2 -homology and L^2 -Betti numbers

Definition (L^2 -homology and L^2 -Betti numbers)

Let X be a connected CW-complex of finite type. Let \tilde{X} be its universal covering and $\pi = \pi_1(M)$. Denote by $C_*(\tilde{X})$ its **cellular $\mathbb{Z}\pi$ -chain complex**.

Define its **cellular L^2 -chain complex** to be the Hilbert $\mathcal{N}(\pi)$ -chain complex

$$C_*^{(2)}(\tilde{X}) := L^2(\pi) \otimes_{\mathbb{Z}\pi} C_*(\tilde{X}) = \overline{C_*(\tilde{X})}.$$

Define its **n -th L^2 -homology** to be the finitely generated Hilbert $\mathcal{N}(G)$ -module

$$H_n^{(2)}(\tilde{X}) := \ker(c_n^{(2)}) / \overline{\operatorname{im}(c_{n+1}^{(2)})}.$$

Define its **n -th L^2 -Betti number**

$$b_n^{(2)}(\tilde{X}) := \dim_{\mathcal{N}(\pi)} (H_n^{(2)}(\tilde{X})) \in \mathbb{R}^{\geq 0}.$$

Theorem (Main properties of L^2 -Betti numbers)

Let X and Y be connected CW-complexes of finite type.

- *Homotopy invariance*

If X and Y are homotopy equivalent, then

$$b_n^{(2)}(\tilde{X}) = b_n^{(2)}(\tilde{Y});$$

- *Euler-Poincaré formula*

We have

$$\chi(X) = \sum_{n \geq 0} (-1)^n \cdot b_n^{(2)}(\tilde{X});$$

- *Poincaré duality*

Let M be a closed manifold of dimension d . Then

$$b_n^{(2)}(\tilde{M}) = b_{d-n}^{(2)}(\tilde{M});$$

Theorem (Continued)

- *Künneth formula*

$$b_n^{(2)}(\widetilde{X \times Y}) = \sum_{p+q=n} b_p^{(2)}(\widetilde{X}) \cdot b_q^{(2)}(\widetilde{Y});$$

- *Zero-th L^2 -Betti number*

We have

$$b_0^{(2)}(\widetilde{X}) = \frac{1}{|\pi|};$$

- *Finite coverings*

If $X \rightarrow Y$ is a finite covering with d sheets, then

$$b_n^{(2)}(\widetilde{X}) = d \cdot b_n^{(2)}(\widetilde{Y}).$$

Example (Finite π)

If π is finite then

$$b_n^{(2)}(\tilde{X}) = \frac{b_n(\tilde{X})}{|\pi|}.$$

Example (S^1)

Consider the \mathbb{Z} -CW-complex \tilde{S}^1 . We get for $C_*^{(2)}(\tilde{S}^1)$

$$\dots \rightarrow 0 \rightarrow L^2(\mathbb{Z}) \xrightarrow{M_{z-1}} L^2(\mathbb{Z}) \rightarrow 0 \rightarrow \dots$$

and hence $H_n^{(2)}(\tilde{S}^1) = 0$ and $b_n^{(2)}(\tilde{S}^1) = 0$ for all $n \geq 0$.

Example ($\pi = \mathbb{Z}^d$)

Let X be a connected CW-complex of finite type with fundamental group \mathbb{Z}^d . Let $\mathbb{C}[\mathbb{Z}^d]^{(0)}$ be the quotient field of the commutative integral domain $\mathbb{C}[\mathbb{Z}^d]$. Then

$$b_n^{(2)}(\tilde{X}) = \dim_{\mathbb{C}[\mathbb{Z}^d]^{(0)}} \left(\mathbb{C}[\mathbb{Z}^d]^{(0)} \otimes_{\mathbb{C}[\mathbb{Z}^d]} H_n(\tilde{X}) \right)$$

Obviously this implies

$$b_n^{(2)}(\tilde{X}) \in \mathbb{Z}.$$

Example (Finite self coverings)

We get for a connected CW -complex X of finite type, for which there is a selfcovering $X \rightarrow X$ with d -sheets for some integer $d \geq 2$,

$$b_n^{(2)}(\tilde{X}) = 0 \quad \text{for } n \geq 0.$$

This implies for each connected CW -complex Y of finite type

$$b_n^{(2)}(\widetilde{S^1 \times Y}) = 0 \quad \text{for } n \geq 0.$$

Theorem (S^1 -actions, Lück)

Let M be a connected compact manifold with S^1 -action. Suppose that for one (and hence all) $x \in X$ the map $S^1 \rightarrow M$, $z \mapsto zx$ is π_1 -injective. Then we get for all $n \geq 0$

$$b_n^{(2)}(\tilde{M}) = 0.$$

Theorem (S^1 -actions on aspherical manifolds, Lück)

Let M be an aspherical closed manifold with non-trivial S^1 -action. Then

- 1 The action has no fixed points;
- 2 The map $S^1 \rightarrow M$, $z \mapsto zx$ is π_1 -injective for $x \in M$;
- 3 $b_n^{(2)}(\tilde{M}) = 0$ for $n \geq 0$ and $\chi(M) = 0$.

Example (L^2 -Betti number of surfaces)

- Let F_g be the orientable closed surface of genus $g \geq 1$.
- Then $|\pi_1(F_g)| = \infty$ and hence $b_0^{(2)}(\widetilde{F}_g) = 0$.
- By Poincaré duality $b_2^{(2)}(\widetilde{F}_g) = 0$.
- $\dim(F_g) = 2$, we get $b_n^{(2)}(\widetilde{F}_g) = 0$ for $n \geq 3$.
- The Euler-Poincaré formula shows

$$b_1^{(2)}(\widetilde{F}_g) = -\chi(F_g) = 2g - 2;$$

$$b_n^{(2)}(\widetilde{F}_g) = 0 \quad \text{for } n \neq 1.$$

Theorem (L^2 -Hodge - de Rham Theorem, Dodziuk)

Let M be a closed Riemannian manifold. Put

$$\mathcal{H}_{(2)}^n(\tilde{M}) = \{\tilde{\omega} \in \Omega^n(\tilde{M}) \mid \tilde{\Delta}_n(\tilde{\omega}) = 0, \|\tilde{\omega}\|_{L^2} < \infty\}$$

Then integration defines an isomorphism of finitely generated Hilbert $\mathcal{N}(\pi)$ -modules

$$\mathcal{H}_{(2)}^n(\tilde{M}) \xrightarrow{\cong} H_{(2)}^n(\tilde{M}).$$

Corollary (L^2 -Betti numbers and heat kernels)

$$b_n^{(2)}(\tilde{M}) = \lim_{t \rightarrow \infty} \int_{\mathcal{F}} \operatorname{tr}_{\mathbb{R}}(e^{-t\tilde{\Delta}_n}(\tilde{x}, \tilde{x})) \, d\operatorname{vol}.$$

where $e^{-t\tilde{\Delta}_n}(\tilde{x}, \tilde{y})$ is the heat kernel on \tilde{M} and \mathcal{F} is a fundamental domain for the π -action.

Theorem (hyperbolic manifolds, Dodziuk)

Let M be a hyperbolic closed Riemannian manifold of dimension d .
Then:

$$b_n^{(2)}(\tilde{M}) = \begin{cases} = 0 & , \text{ if } 2n \neq d; \\ > 0 & , \text{ if } 2n = d. \end{cases}$$

Proof.

A direct computation shows that $\mathcal{H}_{(2)}^p(\mathbb{H}^d)$ is not zero if and only if $2n = d$. Notice that M is hyperbolic if and only if \tilde{M} is isometrically diffeomorphic to the standard hyperbolic space \mathbb{H}^d . □

Corollary

Let M be a hyperbolic closed manifold of dimension d . Then

- ① If $d = 2m$ is even, then

$$(-1)^m \cdot \chi(M) > 0;$$

- ② M carries no non-trivial S^1 -action.

Proof.

(1) We get from the Euler-Poincaré formula and the last result

$$(-1)^m \cdot \chi(M) = b_m^{(2)}(\tilde{M}) > 0.$$

(2) We give the proof only for $d = 2m$ even. Then $b_m^{(2)}(\tilde{M}) > 0$. Since $\tilde{M} = \mathbb{H}^d$ is contractible, M is aspherical. Now apply a previous result about S^1 -actions. □

Theorem (3-manifolds, Lott-Lück)

Let the 3-manifold M be the connected sum $M_1 \# \dots \# M_r$ of (compact connected orientable) prime 3-manifolds M_j . Assume that $\pi_1(M)$ is infinite. Then

$$b_1^{(2)}(\tilde{M}) = (r-1) - \sum_{j=1}^r \frac{1}{|\pi_1(M_j)|} - \chi(M) + \left| \{C \in \pi_0(\partial M) \mid C \cong S^2\} \right|;$$

$$b_2^{(2)}(\tilde{M}) = (r-1) - \sum_{j=1}^r \frac{1}{|\pi_1(M_j)|} + \left| \{C \in \pi_0(\partial M) \mid C \cong S^2\} \right|;$$

$$b_n^{(2)}(\tilde{M}) = 0 \quad \text{for } n \neq 1, 2.$$

Theorem (mapping tori, Lück)

Let $f: X \rightarrow X$ be a cellular selfhomotopy equivalence of a connected CW-complex X of finite type. Let T_f be the mapping torus. Then

$$b_n^{(2)}(\tilde{T}_f) = 0 \quad \text{for } n \geq 0.$$

Proof:

- As $T_{fd} \rightarrow T_f$ is a d -sheeted covering, we get

$$b_n^{(2)}(\widetilde{T}_f) = \frac{b_n^{(2)}(\widetilde{T}_{fd})}{d}.$$

- If $\beta_n(X)$ is the number of n -cells, then there is up to homotopy equivalence a CW-structure on T_{fd} with $\beta_n(T_{fd}) = \beta_n(X) + \beta_{n-1}(X)$. We have

$$\begin{aligned} b_n^{(2)}(\widetilde{T}_{fd}) &= \dim_{\mathcal{N}(G)} \left(H_n^{(2)}(C_n^{(2)}(\widetilde{T}_{fd})) \right) \\ &\leq \dim_{\mathcal{N}(G)} \left(C_n^{(2)}(\widetilde{T}_{fd}) \right) = \beta_n(T_{fd}). \end{aligned}$$

- This implies for all $d \geq 1$

$$b_n^{(2)}(\widetilde{T}_f) \leq \frac{\beta_n(X) + \beta_{n-1}(X)}{d}.$$

- Taking the limit for $d \rightarrow \infty$ yields the claim.

The fundamental square and the Atiyah Conjecture

Conjecture (Atiyah Conjecture for torsionfree finitely presented groups)

Let G be a torsionfree finitely presented group. We say that G satisfies the *Atiyah Conjecture* if for any closed Riemannian manifold M with $\pi_1(M) \cong G$ we have for every $n \geq 0$

$$b_n^{(2)}(\tilde{M}) \in \mathbb{Z}.$$

- All computations presented above support the Atiyah Conjecture.

- The **fundamental square** is given by the following inclusions of rings

$$\begin{array}{ccc}
 \mathbb{Z}G & \longrightarrow & \mathcal{N}(G) \\
 \downarrow & & \downarrow \\
 \mathcal{D}(G) & \longrightarrow & \mathcal{U}(G)
 \end{array}$$

- $\mathcal{U}(G)$ is the **algebra of affiliated operators**. Algebraically it is just the **Ore localization** of $\mathcal{N}(G)$ with respect to the multiplicatively closed subset of non-zero divisors.
- $\mathcal{D}(G)$ is the **division closure** of $\mathbb{Z}G$ in $\mathcal{U}(G)$, i.e., the smallest subring of $\mathcal{U}(G)$ containing $\mathbb{Z}G$ such that every element in $\mathcal{D}(G)$, which is a unit in $\mathcal{U}(G)$, is already a unit in $\mathcal{D}(G)$ itself.

- If G is finite, its is given by

$$\begin{array}{ccc} \mathbb{Z}G & \longrightarrow & \mathbb{C}G \\ \downarrow & & \downarrow \text{id} \\ \mathbb{Q}G & \longrightarrow & \mathbb{C}G \end{array}$$

- If $G = \mathbb{Z}$, it is given by

$$\begin{array}{ccc} \mathbb{Z}[\mathbb{Z}] & \longrightarrow & L^\infty(S^1) \\ \downarrow & & \downarrow \\ \mathbb{Q}[\mathbb{Z}]^{(0)} & \longrightarrow & L(S^1) \end{array}$$

- If G is elementary amenable torsionfree, then $\mathcal{D}(G)$ can be identified with the Ore localization of $\mathbb{Z}G$ with respect to the multiplicatively closed subset of non-zero elements.
- In general the Ore localization does not exist and in these cases $\mathcal{D}(G)$ is the right replacement.

Conjecture (Atiyah Conjecture for torsionfree groups)

Let G be a torsionfree group. It satisfies the *Atiyah Conjecture* if $\mathcal{D}(G)$ is a skew-field.

- A torsionfree group G satisfies the Atiyah Conjecture if and only if for any matrix $A \in M_{m,n}(\mathbb{Z}G)$ the von Neumann dimension

$$\dim_{\mathcal{N}(G)}(\ker(r_A: \mathcal{N}(G)^m \rightarrow \mathcal{N}(G)^n))$$

is an integer. In this case this dimension agrees with

$$\dim_{\mathcal{D}(G)}(r_A: \mathcal{D}(G)^m \rightarrow \mathcal{D}(G)^n).$$

- The general version above is equivalent to the one stated before if G is finitely presented.

- The Atiyah Conjecture implies the **Zero-divisor Conjecture** due to **Kaplansky** saying that for any torsionfree group and field of characteristic zero F the group ring FG has no non-trivial zero-divisors.
- There is also a version of the Atiyah Conjecture for groups with a bound on the order of its finite subgroups.
- However, there exist closed Riemannian manifolds whose universal coverings have an L^2 -Betti number which is irrational, see **Austin, Grabowski**.

Theorem (Linnell, Schick)

- 1 *Let \mathcal{C} be the smallest class of groups which contains all free groups, is closed under extensions with elementary amenable groups as quotients and directed unions. Then every torsionfree group G which belongs to \mathcal{C} satisfies the Atiyah Conjecture.*
- 2 *If G is residually torsionfree elementary amenable, then it satisfies the Atiyah Conjecture.*

Strategy to prove the Atiyah Conjecture

- 1 Show that $K_0(\mathbb{C}) \rightarrow K_0(\mathbb{C}G)$ is surjective
(This is implied by the **Farrell-Jones Conjecture**)
- 2 Show that $K_0(\mathbb{C}G) \rightarrow K_0(\mathcal{D}(G))$ is surjective.
- 3 Show that $\mathcal{D}(G)$ is semisimple.

- In general there are no relations between the Betti numbers $b_n(X)$ and the L^2 -Betti numbers $b_n^{(2)}(\tilde{X})$ for a connected CW-complex X of finite type except for the Euler Poincaré formula

$$\chi(X) = \sum_{n \geq 0} (-1)^n \cdot b_n^{(2)}(\tilde{X}) = \sum_{n \geq 0} (-1)^n \cdot b_n(X).$$

- Given an integer $l \geq 1$ and a sequence r_1, r_2, \dots, r_l of non-negative rational numbers, we can construct a group G such that BG is of finite type and

$$\begin{aligned} b_n^{(2)}(BG) &= r_n && \text{for } 1 \leq n \leq l; \\ b_n^{(2)}(BG) &= 0 && \text{for } l+1 \leq n; \\ b_n(BG) &= 0 && \text{for } n \geq 1. \end{aligned}$$

- For any sequence s_1, s_2, \dots of non-negative integers there is a CW-complex X of finite type such that for $n \geq 1$

$$\begin{aligned} b_n(X) &= s_n; \\ b_n^{(2)}(\tilde{X}) &= 0. \end{aligned}$$

Theorem (Approximation Theorem, Lück)

Let X be a connected CW-complex of finite type. Suppose that π is residually finite, i.e., there is a nested sequence

$$\pi = G_0 \supset G_1 \supset G_2 \supset \dots$$

of normal subgroups of finite index with $\bigcap_{i \geq 1} G_i = \{1\}$. Let X_i be the finite $[\pi : G_i]$ -sheeted covering of X associated to G_i .

Then for any such sequence $(G_i)_{i \geq 1}$

$$b_n^{(2)}(\tilde{X}) = \lim_{i \rightarrow \infty} \frac{b_n(X_i)}{[G : G_i]}.$$

- Ordinary Betti numbers are not multiplicative under finite coverings, whereas the L^2 -Betti numbers are. With the expression

$$\lim_{i \rightarrow \infty} \frac{b_n(X_i)}{[G : G_i]},$$

we try to force the Betti numbers to be multiplicative by a limit process.

- The theorem above says that L^2 -Betti numbers are **asymptotic Betti numbers**. It was conjectured by **Gromov**.

Definition (Deficiency)

Let G be a finitely presented group. Define its **deficiency**

$$\text{defi}(G) := \max\{g(P) - r(P)\}$$

where P runs over all presentations P of G and $g(P)$ is the number of generators and $r(P)$ is the number of relations of a presentation P .

Example

- The free group F_g has the obvious presentation $\langle s_1, s_2, \dots, s_g \mid \emptyset \rangle$ and its deficiency is realized by this presentation, namely $\text{defi}(F_g) = g$.
- If G is a finite group, $\text{defi}(G) \leq 0$.
- The deficiency of a cyclic group \mathbb{Z}/n is 0, the obvious presentation $\langle s \mid s^n \rangle$ realizes the deficiency.
- The deficiency of $\mathbb{Z}/n \times \mathbb{Z}/n$ is -1 , the obvious presentation $\langle s, t \mid s^n, t^n, [s, t] \rangle$ realizes the deficiency.

Example (deficiency and free products)

The deficiency is not additive under free products by the following example due to Hog-Lustig-Metzler. The group

$$(\mathbb{Z}/2 \times \mathbb{Z}/2) * (\mathbb{Z}/3 \times \mathbb{Z}/3)$$

has the obvious presentation

$$\langle s_0, t_0, s_1, t_1 \mid s_0^2 = t_0^2 = [s_0, t_0] = s_1^3 = t_1^3 = [s_1, t_1] = 1 \rangle$$

One may think that its deficiency is -2 . However, it turns out that its deficiency is -1 realized by the following presentation

$$\langle s_0, t_0, s_1, t_1 \mid s_0^2 = 1, [s_0, t_0] = t_0^2, s_1^3 = 1, [s_1, t_1] = t_1^3, t_0^2 = t_1^3 \rangle.$$

Lemma

Let G be a finitely presented group. Then

$$\text{defi}(G) \leq 1 - |G|^{-1} + b_1^{(2)}(G) - b_2^{(2)}(G).$$

Proof.

We have to show for any presentation P that

$$g(P) - r(P) \leq 1 - b_0^{(2)}(G) + b_1^{(2)}(G) - b_2^{(2)}(G).$$

Let X be a CW -complex realizing P . Then

$$\chi(X) = 1 - g(P) + r(P) = b_0^{(2)}(\tilde{X}) + b_1^{(2)}(\tilde{X}) - b_2^{(2)}(\tilde{X}).$$

Since the classifying map $X \rightarrow BG$ is 2-connected, we get

$$\begin{aligned} b_n^{(2)}(\tilde{X}) &= b_n^{(2)}(G) \quad \text{for } n = 0, 1; \\ b_2^{(2)}(\tilde{X}) &\geq b_2^{(2)}(G). \end{aligned}$$

Theorem (Deficiency and extensions, Lück)

Let $1 \rightarrow H \xrightarrow{i} G \xrightarrow{q} K \rightarrow 1$ be an exact sequence of infinite groups. Suppose that G is finitely presented H is finitely generated. Then:

- 1 $b_1^{(2)}(G) = 0$;
- 2 $\text{defi}(G) \leq 1$;
- 3 Let M be a closed oriented 4-manifold with G as fundamental group. Then

$$|\text{sign}(M)| \leq \chi(M).$$

The Singer Conjecture

Conjecture (Singer Conjecture)

If M is an aspherical closed manifold, then

$$b_n^{(2)}(\tilde{M}) = 0 \quad \text{if } 2n \neq \dim(M).$$

If M is a closed Riemannian manifold with negative sectional curvature, then

$$b_n^{(2)}(\tilde{M}) \begin{cases} = 0 & \text{if } 2n \neq \dim(M); \\ > 0 & \text{if } 2n = \dim(M). \end{cases}$$

- The computations presented above do support the Singer Conjecture.
- Under certain negative pinching conditions the Singer Conjecture has been proved by **Ballmann-Brüning, Donnelly-Xavier, Jost-Xin**.

- Because of the Euler-Poincaré formula

$$\chi(M) = \sum_{n \geq 0} (-1)^n \cdot b_n^{(2)}(\tilde{M})$$

the Singer Conjecture implies the following conjecture provided that M has non-positive sectional curvature.

Conjecture (Hopf Conjecture)

If M is a closed Riemannian manifold of even dimension with sectional curvature $\sec(M)$, then

$$\begin{array}{llll} (-1)^{\dim(M)/2} \cdot \chi(M) > 0 & \text{if} & \sec(M) < 0; \\ (-1)^{\dim(M)/2} \cdot \chi(M) \geq 0 & \text{if} & \sec(M) \leq 0; \\ \chi(M) = 0 & \text{if} & \sec(M) = 0; \\ \chi(M) \geq 0 & \text{if} & \sec(M) \geq 0; \\ \chi(M) > 0 & \text{if} & \sec(M) > 0. \end{array}$$

Definition (Kähler hyperbolic manifold)

A **Kähler hyperbolic manifold** is a closed connected Kähler manifold M whose fundamental form ω is \tilde{d} (bounded), i.e. its lift $\tilde{\omega} \in \Omega^2(\tilde{M})$ to the universal covering can be written as $d(\eta)$ holds for some bounded 1-form $\eta \in \Omega^1(\tilde{M})$.

Theorem (Gromov)

Let M be a closed Kähler hyperbolic manifold of complex dimension c . Then

$$\begin{aligned} b_n^{(2)}(\tilde{M}) &= 0 \quad \text{if } n \neq c; \\ b_n^{(2)}(\tilde{M}) &> 0; \\ (-1)^m \cdot \chi(M) &> 0; \end{aligned}$$

- Let M be a closed Kähler manifold. It is Kähler hyperbolic if it admits some Riemannian metric with negative sectional curvature, or, if, generally $\pi_1(M)$ is word-hyperbolic and $\pi_2(M)$ is trivial.
- A consequence of the theorem above is that any Kähler hyperbolic manifold is a projective algebraic variety.